

“A Spotlight on Compliance,” AIMA Global Policy & Regulatory Forum 2024

Event

March 19, 2024

Partner Gabi Gillett will speak at the Alternative Investment Management Association’s 2024 forum, a premier event that gathers leading and next-generation investment managers, regulators, investors, and service providers from around the globe.

During his panel, Gabi will discuss how the US Securities and Exchange Commission’s (SEC) recent regulatory activity could affect private funds and investment advisers. The panel will cover proposed amendments to the SEC’s custody rule, new rules on the use of predictive data analytics, and new definitions of “dealer” and “government securities dealer.” The conversation will touch upon compliance implications for the industry and whether the SEC’s own cost-benefit analysis is meaningful or accurate. Gabi will also share his insight on how firms and the industry can best position themselves to challenge proposals that are legally or factually deficient.

Gabi’s panel is at 9:10 am ET. The day-long event will be held in-person at Convene in New York.

[Register Here](#)

Gabi is a member of the firm’s Appellate and Investor and Securities Litigation Practices. His experience includes representing AIMA and other industry groups in challenging enforcement actions and regulatory activity by the SEC and other agencies. He has also represented multiple clients in private litigation relating to securities laws, mergers and acquisitions, fiduciary duty and corporate governance, antitrust, and breach of contract. A prolific writer and speaker about the evolving landscape of SEC enforcement and regulatory challenges more broadly, Gabi has been quoted in global media outlets including *The National Law Journal* and *Forbes*.

AIMA represents the alternative investment industry, providing leadership and advocacy, educational programs, and practice guides. It has about 2,100 corporate members in more than 60 countries. Jenner is a sponsor of the form.

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