

“The SEC Under The Biden Administration,” Kroll’s Due Diligence Insights Virtual Event

Event

June 7, 2021

On June 8, Partner Charles D. Riely will participate in the Due Diligence Insights Virtual Event. Hosted by Kroll, the event will focus on the concerns of institutional allocators, public and private pensions, endowments, foundations, sovereign wealth funds, family offices, and fund of funds. The conference will explore evolving trends on alternative asset classes and global investment best practices. The event will also cover global topics that speak to the needs of CCOs, COOs, CFOs, operational due diligence professionals, and CTOs. Mr. Riely will participate in one of the Emerging Trends panels and will discuss expectations for the Securities and Exchange Commission (SEC) under the Biden Administration. Mr. Riely’s panel begins at 9:45 am ET. Mr. Riely is a partner in Jenner & Block’s Securities, Litigation, and Enforcement Practice and Investigations, Compliance, and Defense Practice. As a lawyer at the SEC for more than a decade, he handled a number of significant matters, working extensively with criminal authorities in parallel investigations, and developing deep experience in all aspects of the federal securities laws. While at the SEC, Mr. Riely worked on matters involving disclosure failures by public companies; alleged fraud and regulatory violations by investment advisers and broker-dealers; insider trading; anti-money laundering violations; “spoofing” and other forms of market manipulation; failure-to-supervise violations; the adequacy of firms’ cyber-security procedures and protections; and a variety of other fraud and regulatory matters. His background enables him to counsel clients on their most complex legal and compliance issues. To learn more, and to register, please [click here](#).

Related Attorneys



Charles D. Riely

Partner

criely@jenner.com

+1 212 891 1686

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