

Lori B. Day

Partner

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Office

New York

Phone

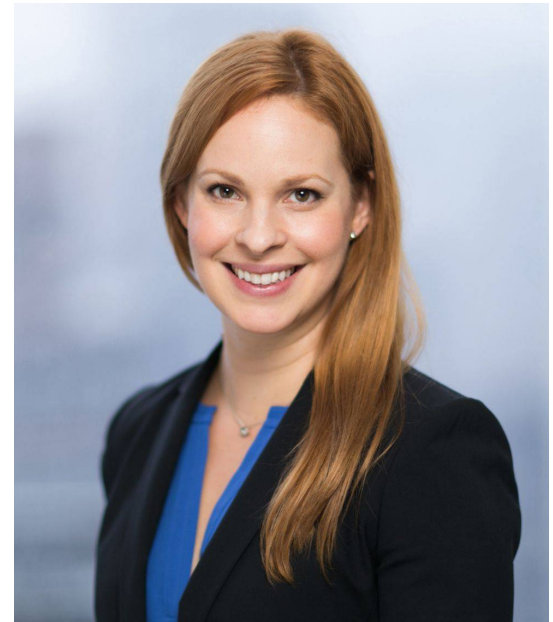
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Areas of Focus

Culture Risk and Sensitive
Investigations

Investigations, Compliance,
and Defense

Monitorship Practice



Overview

Lori Day helps companies facing sensitive investigations, misconduct allegations, or other government inquiries take control quickly, minimize risk, and reduce reputational damage. Applying her extensive knowledge of the courts and experience in criminal and civil matters, she adeptly handles investigations and leads court proceedings and mediations to successful conclusions.

Lori has ushered prominent companies and individuals through internal and government inquiries conducted by the DOJ, multiple US Attorney's Offices, Attorneys General Offices, and other agencies. With more than a decade of experience in live theater before her legal career, Lori communicates strategically and clearly to her audience, whether judicial, governmental, or client based.

Representing clients in the financial services and technology sectors and various other industries, Lori advises on compliance with sanctions laws, anti-money laundering regulations, and sexual misconduct allegations, among other issues.

Lori also has significant monitorship experience. In this independent role, she helps monitored entities resolve and remediate compliance issues, investigates historical misconduct at the entity, and more. Her experience in this space includes the Court-ordered monitorship of one of the largest unions in the U.S. as well as monitorship of multiple global financial institutions, including Credit Suisse Securities (USA) LLC following its \$5.3 billion settlement with the US Department of Justice over the sales of residential mortgage-backed securities.

Lori also has an active pro bono practice, including significant courtroom experience. Among her other pro bono work, Lori has represented multiple individual criminal defendants in federal court, including one who received a

significantly below-Guidelines sentence. Lori co-first-chaired a pro bono trial in federal court, representing Planned Parenthood of Wisconsin and individual plaintiffs in a challenge to three state abortion restrictions; this trial team won Jenner & Block's 2021 Albert E. Jenner Pro Bono Award.



Good judgment and emotional intelligence are critical to success. And while a stickler for detail, I never lose track of the big picture and my client's goals.”

Areas of Focus

- Culture Risk and Sensitive Investigations
- Investigations, Compliance, and Defense
- Monitorship Practice

Representative Matters

- Representing a major technology company in a DOJ investigation into potential fraud and obstruction of justice, including participating in multiple factual presentations to the USAO.
- Representing executives of a major international financial institution in connection with a DOJ investigation into potential OFAC violations.
- Representing an independent educational institution in connection with allegations of sexual abuse and misconduct, including representing the client at mediation and advising on policy reform and public relations and communications strategy.
- Conducting an internal investigation of sexual assault allegations at a public technology company and preparing a report to the Board.
- Serving in a key leadership role supporting Neil Barofsky as Court ordered Monitor of the International Union, United Automobile, Aerospace, and Agricultural Implement Workers of America (United Auto Workers), following an agreement between the government and the UAW to resolve civil and criminal liability of the union through a Consent Decree. The agreement calls for a monitor to help carry out the mandates and goals set forth in the Consent Decree, which requires the Monitor to work in several areas, including overseeing the union's elections, its overhaul of its compliance and control functions, and to investigate and seek the removal of union officials who engage in certain types of misconduct.
- Playing a key role in the Monitorship of Credit Suisse Securities (USA) LLC following its \$5.3 billion settlement with the US Department of Justice over the sales of residential mortgage-backed securities.

Credentials

Admissions

- New York, 2014

Education

- New York University School of Law, JD, Law Review Alumni Association Award; Frank H. Sommer Memorial Award; Pomeroy Scholar; Butler Scholar; Order of the Coif; *magna cum laude*, 2013
- University of Michigan, BFA, Highest Honors; James B. Angell Scholar; William J. Branstrom Freshman Prize, 2003

Court Admissions

- US District Court, Eastern District of New York, 2016
- US District Court, Southern District of New York, 2016

Clerkships

- Hon. Dennis Jacobs, US Court of Appeals, Second Circuit, 2015-2016
- Hon. Nicholas G. Garaufis, US District Court, Eastern District of New York, 2014-2015

Service / Recognition

Awards

- *Best Lawyers in America*, Ones to Watch, Corporate Governance and Compliance Law, 2024

Service to the Bar

- Federal Bar Council, Member, 2015-present